

ROCK MECHANICS

Rock classification

Hasan Ghasemzadeh

Goodman classification(1980)

- Crystalline texture
- Clastic texture
- Very fine-grained rock
- Organic rock

Rock classification

- Terzaghi classification
- Goodman classification
- Total/Solid Core Recovery(TCR/SCR)
- Rock Quality Designation (RQD)
- Rock Structure Rating (RSR)
- Rock Mass Rating (RMR)
- Tunneling Rock Quality Index (Q)

Goodman classification(1980)

- **Crystalline texture**



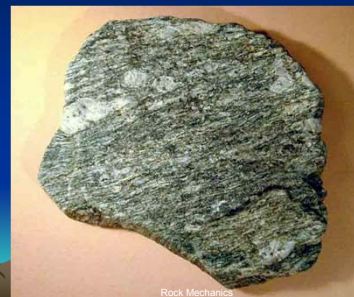
Dolomite

Terzaghi classification (1946)

- Intact rock
- Stratified rock
- Moderately jointed rock
- Blocky & seamy rock
- Crushed rock
- Squeezing rock
- Swelling rock

Goodman classification(1980)

- **Crystalline texture**



Gneiss

Goodman classification(1980)

- Very fine-grained rock



Basalt

Goodman classification(1980)

- Organic rock



Coal

Goodman classification(1980)

- Very fine-grained rock



Slate

Total Core Recovery(TCR)

Interbeds



Basalt Breccia



$$TCR = \frac{l_{\text{sum of pieces}}}{l_{\text{tot core run}}} \times 100\%$$

Goodman classification(1980)

- Very fine-grained rock



Hornfels

Solid Core Recovery(SCR)

- Vesicular Basalt



$$SCR = \frac{l_{\text{sum of solid core pieces}}}{l_{\text{tot core run}}} \times 100\%$$

Rock Quality Designation (RQD)

Basalt interiors



$$RQD = \frac{l_{\text{sum of core pieces } > 10\text{cm length}}}{l_{\text{tot core run}}} \times 100\%$$

Rock Mechanics

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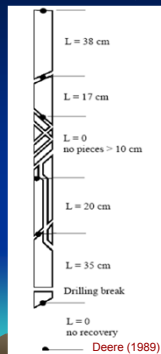
RQD



(2m window: RQD = 20 to 50 May need to measure)

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Rock Quality Designation (RQD)



total core length=200

$$RQD = \frac{l_{\text{sum of core pieces } > 10\text{cm length}}}{l_{\text{tot core run}}} \times 100\%$$

$$RQD = \frac{38 + 17 + 20 + 35}{200} \times 100\% = 55\%$$

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Rock Structure Rating (RSR)

نوع و سختی سنگ و ساختار زمین شناسی ؟

فاصله و جهت درزه ها ؟

جریان آب ؟

Rock Mechanics

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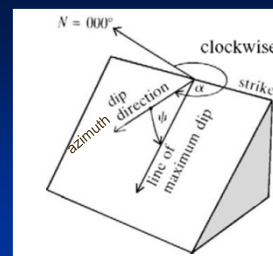
Rock Quality Designation (RQD)

$$RQD = \{(\text{core longer than } 100\text{mm}) / (\text{total core length mm}) \} \times 100$$

Rock quality	RQD
Very poor	0-25
Poor	25-50
Fair	50-75
Good	75-90
Excellent	90-100

Rock Mechanics

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dip direction (three digits)/dip (two digits)

035/70, 290/15

strike = $\alpha - 90 \pm (180)$

Rock Mechanics

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Rock Structure Rating (RSR)

Vickham et al. (1972)

$$RSR=A+B+C<100$$

A : General geology

	Basic Rock Type				Geological Structure			
	Hard	Medium	Soft	Decomposed				
Igneous	1	2	3	4				
Metamorphic	1	2	3	4				
Sedimentary	2	3	4	4				
Type 1					Massive	Slightly Faulted or	Moderately Faulted or	Intensively Faulted or
Type 2								
Type 3								
Type 4								

Parameter A, Geology: General appraisal of geological structure on the basis of:

- Rock type origin (igneous, metamorphic, sedimentary).
- Rock hardness (hard, medium, soft, decomposed).
- Geologic structure (massive, slightly faulted/folded, moderately faulted/folded, intensely faulted/folded).

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Rock Mass Rating (RMR) Geomechanics classification

Bieniawski (1976-1989)

Numerous amendments since 1976 For assessing the stability of rock slopes

- strength of the intact rock

- RQD

- Groundwater

Discontinuities

- Spacing, length, roughness
- Aperture width, infill, weathering

$$RMR=A1+A2+A3+(A4 \text{ or } E)+A5+B<100$$

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Rock Structure Rating (RSR)

B : Joint pattern

	Strike ⊥ to Axis				Strike to Axis			
	Direction of Drive				Direction of Drive			
	Both	With Dip	Against Dip		Both	With Dip	Against Dip	
Average joint spacing	Flat	Dipping	Vertical	Dip of Prominent Joints ^a	Flat	Dipping	Vertical	Dip of Prominent Joints
1. Very closely jointed, < 2 in	9	11	13	10	12	9	9	7
2. Closely jointed, 2-6 in	13	16	19	15	17	14	14	11
3. Moderately jointed, 6-12 in	23	24	28	19	22	23	23	19
4. Moderate to blocky, 1-2 ft	30	32	36	25	28	30	28	24
5. Blocky to massive, 2-4 ft	36	38	40	33	35	36	24	28
6. Massive, > 4 ft	40	43	45	37	40	40	38	34

^a Dip: flat: 0-20°; dipping: 20-50°; and vertical: 60-90°

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ROCK MASS RATING (RMR)

Bieniawski (1989)

A. CLASSIFICATION PARAMETERS AND THEIR RATINGS									
Parameter	Range of values								
1 Strength of intact rock material	Point-load strength index >10 MPa	4 - 10 MPa	2 - 4 MPa	1 - 2 MPa	For this low range - uniaxial compressive test is preferred				
Rating	15	12	7	4	2	1	0		
2 Drill core Quality RQD	90% - 100%	75% - 90%	50% - 75%	25% - 50%	< 25%				
Rating	20	17	13	9	3				
3 Spacing of discontinuities	> 2 m	0.6 - 2 m	200 - 600 mm	60 - 200 mm	< 60 mm				
Rating	20	15	10	8	5				
4 Condition of discontinuities (See E)	Very rough surfaces Not continuous No separation Unweathered wall rock	Slightly rough surfaces Separation < 1 mm Highly weathered walls	Slightly rough surfaces Separation < 1 mm Highly weathered walls	Blockier surfaces Gouge < 5 mm thick or Separation 1-5 mm Continuous	Soft gouge > 5 mm thick or Separation > 5 mm Continuous				
Rating	30	25	20	10	0				
5 Ground water	Inflow per 10 m tunnel length (l/m)	None	< 10	10 - 25	25 - 125	> 125			
Rating	15	10	7	4	0				

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Rock Structure Rating (RSR)

C : Ground water, joint condition

Anticipated water inflow gpm/1000 ft of tunnel	Sum of Parameters A + B					
	13 - 44			45 - 75		
	Joint Condition ^b					
	Good	Fair	Poor	Good	Fair	Poor
None	22	18	12	25	22	18
Slight, < 200 gpm	19	15	9	23	19	14
Moderate, 200-1000 gpm	15	22	7	21	16	12
Heavy, > 1000 gp	10	8	6	18	14	10

Parameter C: Effect of groundwater inflow and joint condition on the basis of:

- Overall rock mass quality on the basis of A and B combined.
- Joint condition (good, fair, poor).
- Amount of water inflow (in gallons per minute per 1000 feet of tunnel).

^b Joint condition: good = tight or cemented; fair = slightly weathered or altered; poor = severely weathered, altered or open

Gpm=3.785 liter per minute

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ROCK MASS RATING (RMR)

Bieniawski (1989)

B. RATING ADJUSTMENT FOR DISCONTINUITY ORIENTATIONS (See F)					
Strike and dip orientations	Very favourable	Favourable	Fair	Unfavourable	Very Unfavourable
Tunnels & mines	0	-2	-5	-10	-12
Foundations	0	-2	-7	-15	-25
Slopes	0	-5	-25	-50	
C. ROCK MASS CLASSES DETERMINED FROM TOTAL RATINGS					
Rating	100 - 81	80 - 61	60 - 41	40 - 21	< 21
Class number	I	II	III	IV	V
Description	Very good rock	Good rock	Fair rock	Poor rock	Very poor rock
D. MEANING OF ROCK CLASSES					
Class number	I	II	III	IV	V
Average stand-up time	20 yrs for 15 m span	1 year for 10 m span	1 week for 5 m span	10 hrs for 2.5 m span	30 min for 1 m span
Cohesion of rock mass (kPa)	> 400	300 - 400	200 - 300	100 - 200	< 100
Friction angle of rock mass (deg)	> 45	35 - 45	25 - 35	15 - 25	< 15

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ROCK MASS RATING (RMR)

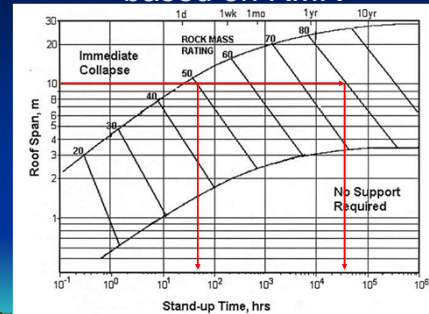
Bieniawski (1989)

E. GUIDELINES FOR CLASSIFICATION OF DISCONTINUITY conditions					
Discontinuity length (persistence)	< 1 m	1 - 3 m	3 - 10 m	10 - 20 m	> 20 m
Rutting	5	4	2	1	0
Separation (aperture)	None	< 0.1 mm	0.1 - 1.0 mm	1 - 5 mm	> 5 mm
Rating	6	5	4	1	0
Roughness	Very rough	Rough	Slightly rough	Smooth	Slacksided
Rating	6	5	3	1	0
Infilling (grout)	None	Hard filling < 5 mm	Hard filling > 5 mm	Soft filling < 5 mm	Soft filling > 5 mm
Rating	6	5	2	2	0
Weathering	Unweathered	Slightly weathered	Moderately weathered	Highly weathered	Decomposed
Rating	6	5	3	1	0

F. EFFECT OF DISCONTINUITY STRIKE AND DIP ORIENTATION IN TUNNELLING**					
Strike perpendicular to tunnel axis			Strike parallel to tunnel axis		
Drive with dip - Dip 45 - 90°	Drive with dip - Dip 20 - 45°		Dip 45 - 90°	Dip 20 - 45°	
Very favourable	Favourable		Very unfavourable	Fair	
Drive against dip - Dip 45-90°	Drive against dip - Dip 20-45°		Dip 0-20° - Irrespective of strike		
Fair	Unfavourable		Fair		

Rock Mechanics

Evaluation of Tunnels based on RMR



Example: 10 m span RMR = 80 Stand up time > 4 years
RMR = 50 Stand up time ≈ 2 days

TA

Rock Mass Rating (RMR)

Rock mass class	Excavation	Rock bolts (20 mm diameter, fully grouted)	Shotcrete	Steel sets
I - Very good rock RMR: 91-100	Full face, 3 m advance.	Generally no support required except spot bolting.		
II - Good rock RMR: 81-90	Full face, 1-1.5 m advance. Complete support 20 m from face.	Locally, bolts in crown 3 m long, spaced 2.5 m with occasional wire mesh.	50 mm in crown where required.	None.
III - Fair rock RMR: 71-80	Top heading and bench 1.5-3 m advance in top heading. Commence support after each blast. Complete support 10 m from face.	Systematic bolts 4 m long, spaced 1.5 - 2 m in crown and walls with wire mesh in crown.	50-100 mm in crown and 20 mm in sides.	None.
IV - Poor rock RMR: 61-70	Top heading and bench 1.0-1.5 m advance in top heading. Install support concurrently with excavation, 10 m from face.	Systematic bolts 4-5 m long, spaced 1-1.5 m in crown and walls with wire mesh.	100-150 mm in crown and 100 mm in sides.	Light to medium ribs spaced 1.5 m where required.
V - Very poor rock RMR: < 60	Multiple drifts 0.5-1.5 m advance in top heading. Install support concurrently with excavation. Shotcrete as soon as possible after blasting.	Systematic bolts 5-6 m long, spaced 1-1.5 m in crown and walls with wire mesh. Bolt invert.	150-200 mm in crown, 150 mm in sides, and 50 mm on face.	Medium to heavy ribs spaced 0.75 m with steel lagging and forepoling if required. Close invert.

TA

Q system (Rock tunnelling quality index)

Initial data base was 212 cases of nominally unlined tunnels and caverns

50 rock types were initially represented

Numerous shear zones and faults containing clay

Numerous shear zones and faults containing clay

A larger number of igneous and metamorphic rocks

Rock Mechanics

TA

RMR for slopes or tunnels

Additional factors applied to RMR_{basic} :

- Accounts for excavation method
- Accounts for joint orientation
- "Stand up time" for various tunnel spans based on RMR
- Modified RMR for Mining
 - MRMR (Laubscher)
 - MBR (Cummings et al)

طبقه بندی اصلاح شده RMR جهت در نظر گرفتن تنش برجا و تنش های ایجاد شده در اثر حفاری و همچنین در نظر گرفتن تاثیر انفجار و هوازدگی

Rock Mechanics

TA

Q system (Rock tunnelling quality index)

$$Q = \frac{RQD}{J_n} \frac{J_r}{J_a} \frac{J_w}{SRF}$$

block size

frictional

Active stress and water effect

$$0.001 \leq Q \leq 2000$$

Rock Mechanics

TA

Q system (Rock tunnelling quality index)

$$Q = \frac{RQD}{J_n} \frac{J_r}{J_a} \frac{J_w}{SRF}$$

structure of the rock mass

- RQD Rock quality designation (10-100)
- J_n = joint set number (0.5 – 20)
- The ratio $RQD/J_n \sim$ **block size**

Rock Mechanics

Q system

J_n



(3 to 4m window)
 $J_n = 15$ (at least!)

Rock Mechanics

Q system

1. Rock Quality Designation	RQD
A Very poor	0 - 25
B Poor	25 - 50
C Fair	50 - 75
D Good	75 - 90
E Excellent	90 - 100

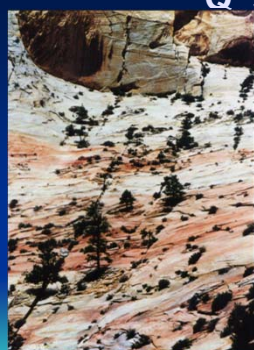
Note: i) Where RQD is reported or measured as ≤ 10 (including 0), a nominal value of 10 is used to evaluate Q.
ii) RQD intervals of 5, i.e., 100, 95, 90, etc., are sufficiently accurate.

2. Joint Set Number	J_n
A Massive, no or few joints	0.5 - 1.0
B One joint set	2
C One joint set plus random joints	3
D Two joint sets	4
E Two joint sets plus random joints	6
F Three joint sets	9
G Three joint sets plus random joints	12
H Four or more joint sets, random, heavily jointed, "sugar cube", etc.	15
J Crushed rock, earthlike	20

Note: i) For intersections, use $(3.0 \times J_n)$
ii) For portals, use $2.0 \times J_n$

Rock Mechanics

Q system



$J_n = 2 \rightarrow 3$

Rock Mechanics

Q system

RQD

Very poor	0-25	One joint set	2
Poor	25-50	Two joint sets	4
Fair	50-75	Two joint set + random	6
Good	75-90	Three joint sets	9
Excellent	90-100		

Rock Mechanics

Q system

$$Q = \frac{RQD}{J_n} \frac{J_r}{J_a} \frac{J_w}{SRF}$$

roughness and frictional characteristics

- J_r = joint roughness number (0.5 -5)
- J_a = the joint alteration number (0.75 – 20: hard to soft filling)
- The ratio $J_r/J_a \sim$ joint roughness & friction

Rock Mechanics

Q system

J_r

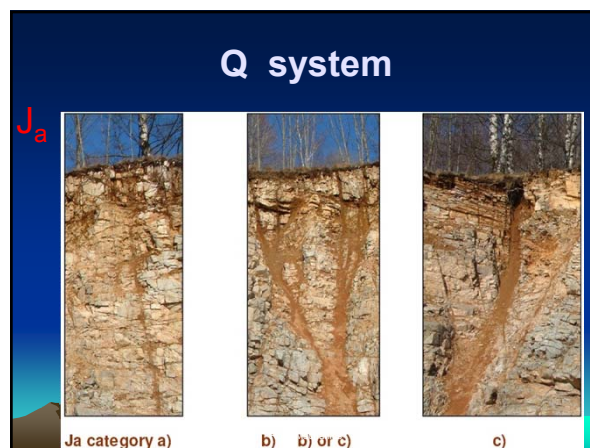
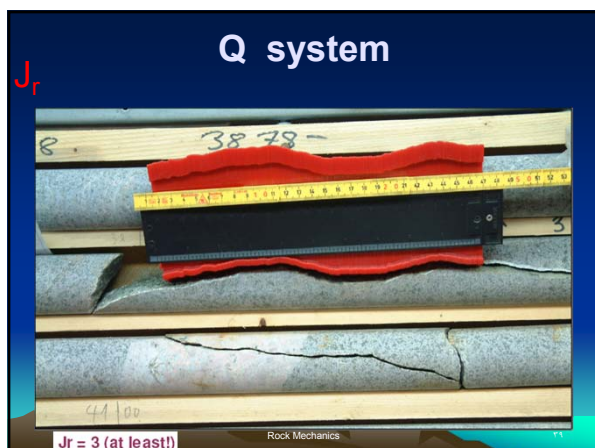
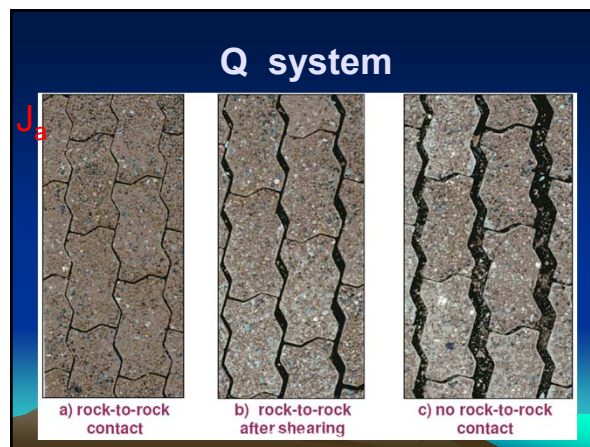
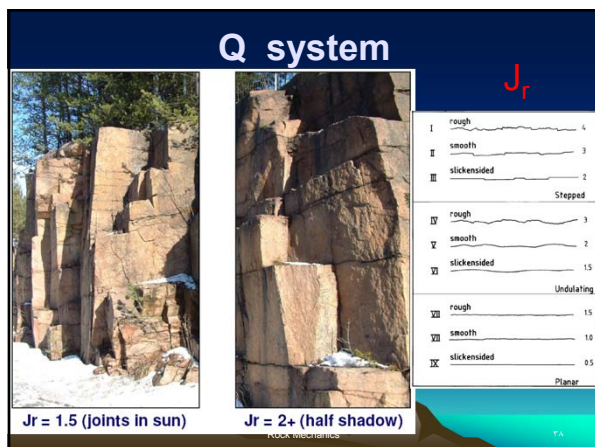
3. Joint Roughness Number		J _r
a) Rock-wall contact, and b) rock-wall contact before 10 cm shear		
A	Discontinuous joints	4
B	Rough or irregular, undulating	3
C	Smooth, undulating	2
D	Slickensided, undulating	1.5
E	Rough or irregular, planar	1.5
F	Smooth, planar	1.0
G	Slickensided, planar	0.5
c) No rock-wall contact when sheared		
H	Zone containing clay minerals thick enough to prevent rock-wall contact	1.0
J	Sandy, gravelly or crushed zone thick enough to prevent rock-wall contact	1.0

Note: i) Descriptions refer to small scale features and intermediate scale features, in that order.
 ii) Add 1.0 if the mean spacing of the relevant joint set is greater than 3m.
 iii) J_r = 0.5 can be used for planar slickensided joints having lineations, provided the lineations are oriented for minimum strength.

Q system

J_a

4. Joint Alteration Number		φ _r approx.	J _a
a) Rock-wall contact (no mineral fillings, only coatings)			
A	Tightly healed, hard, non-softening, impermeable filling, i.e., quartz or epidote	-	0.75
B	Unaltered joint walls, surface staining only	25-35°	1.0
C	Slightly altered joint walls. Non-softening mineral coatings, sandy particles, clay-free disintegrated rock, etc.	25-30°	2.0
D	Silty- or sandy-clay coatings, small clay fraction (non-softening)	20-25°	3.0
E	Softening or low friction clay mineral coatings, i.e., kaolinite or mica. Also chlorite, talc, gypsum, graphite, etc., and small quantities of swelling clays.	8-16°	4.0
b) Rock-wall contact before 10 cm shear (thin mineral fillings)			
F	Sandy particles, clay-free disintegrated rock, etc.	25-30°	4.0
G	Strongly over-consolidated non-softening clay mineral fillings (continuous, but <5mm thickness)	16-24°	6.0
H	Medium or low over-consolidation, softening, clay mineral fillings (continuous, but <5mm thickness)	12-18°	8.0
J	Swelling-clay fillings, i.e., montmorillonite (continuous, but <5mm thickness). Value of J _a depends on percent of swelling clay-size particles, and access to water, etc.	6-12°	8-12
c) No rock-wall contact when sheared (thick mineral fillings)			
KL	Zones or bands of disintegrated or crushed rock and M clay (see G, H, J for description of clay condition)	6-24°	6, 8, or 8-12
N	Zones or bands of silty- or sandy-clay, small clay fraction (non-softening)	-	5.0
OP	Thick, continuous zones or bands of clay (see G, H, J for description of clay condition)	6-24°	10, 13, or 15-20



Q system

J_r/J_a



PRESUMED SUB-SURFACE FAULT

$J_r/J_a = 1/8$

Rock Mechanics

Q system

5. Joint Water Reduction Factor		approx. water pres. (kg/cm ²)	J_w
A	Dry excavations or minor inflow, i.e., < 5 l/min locally	< 1	1.0
B	Medium inflow or pressure, occasional outwash of joint fillings	1-2.5	0.66
C	Large inflow or high pressure in competent rock with unfilled joints	2.5-10	0.5
D	Large inflow or high pressure, considerable outwash of joint fillings	2.5-10	0.33
E	Exceptionally high inflow or water pressure at blasting, decaying with time	> 10	0.2-0.1
F	Exceptionally high inflow or water pressure continuing without noticeable decay	> 10	0.1-0.05

Note: i) Factors C to F are crude estimates. Increase J_w if drainage measures are installed.
ii) Special problems caused by ice formation are not considered.

Rock Mechanics

Q system

J_r/J_a

$J_r = 1, J_a = 1$

frictional strength = $1/1 = 1$

IF THERE WAS WEATHERING:
 $J_a \rightarrow 2 \rightarrow 3 \rightarrow 4 \rightarrow 6 \rightarrow 8 \dots$
 (Maybe the block/wedge fell when J_a was reduced to 2)
 $J_r/J_a \rightarrow 0.5, 0.33, 0.25, 0.17, 0.13$


(125 years old Beaumont Tunnel)

TBM TUNNELLING

Rock Mechanics

Q system

J_w



$J_w = 1$ or 0.66 $J_w = 0.5$ $J_w = 0.2$

Rock Mechanics

Q system

$$Q = \frac{RQD}{J_n} \frac{J_r}{J_a} \frac{J_w}{SRF}$$

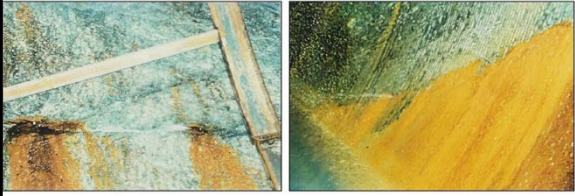
Active stress

- J_w = joint water reduction factor (1 – 0.05: dry to water under pressure)
- SRF = the stress reduction factor (0.5 – 400: low stress & favourable orientation to high stress)

Rock Mechanics

Q system

J_w



$J_w = 0.66$ $J_w = 0.1$ or 0.2

Rock Mechanics

Q system

J_w

Most of tunnel was $J_w < 0.5$

Rock Mechanics

Q system

SRF

Multiple faults (at least two): **SRF = 10**

Q system

FAULTING

SRF

6. Stress Reduction Factor		SRF
a) Weakness zones intersecting excavation, which may cause loosening of rock mass when tunnel is excavated		
A	Multiple occurrences of weakness zones containing clay or chemically disintegrated rock, very loose surrounding rock (any depth)	10
B	Single weakness zones containing clay or chemically disintegrated rock (depth of excavation $\leq 50m$)	5
C	Single weakness zones containing clay or chemically disintegrated rock (depth of excavation $> 50m$)	2.5
D	Multiple shear zones in competent rock (clay-free), loose surrounding rock (any depth)	7.5
E	Single shear zones in competent rock (clay-free) (depth of excavation $\leq 50m$)	5.0
F	Single shear zones in competent rock (clay-free) (depth of excavation $> 50m$)	2.5
G	Loose, open joints, heavily jointed or "sugar cube", etc. (any depth)	5.0

Note: i) Reduce these values of SRF by 25-50% if the relevant shear zones only influence but do not intersect the excavation.

Q system

SRF

PRESUMED SUB-SURFACE FAULT

SRF = 2.5 or 5 according to depth

Q system

SRF

SRF=1, SRF=5, SRF=1

SRF=10

Q system

STRESS FRACTURING IN (mostly) MASSIVE ROCK

SRF

6. Stress Reduction Factor				SRF
b) Competent rock, rock stress problems		σ_1/σ_3	σ_2/σ_3	SRF
H	Low stress, near surface, open joints	> 200	< 0.01	2.5
J	Medium stress, favourable stress condition	200-10	0.01-0.3	1
K	High stress, very tight structure. Usually favourable to stability, may be unfavourable for wall stability.	10-5	0.3-0.4	0.5-2
L	Moderate slabbing after > 1 hour in massive rock	5-3	0.5-0.65	5-50
M	Slabbing and rock burst after a few minutes in massive rock	3-2	0.65-1	50-200
N	Heavy rock burst (strain-burst) and immediate dynamic deformations in massive rock	< 2	> 1	200-400

Note: ii) For strongly anisotropic virgin stress field (if measured): when $5 \leq \sigma_1/\sigma_3 \leq 10$, reduce σ_2 to $0.75\sigma_2$. When $\sigma_1/\sigma_3 > 10$, reduce σ_2 to $0.5\sigma_2$, where σ_2 = unconfined compression strength, σ_1 and σ_3 are the major and minor principal stresses, and σ_θ = maximum tangential stress (estimated from elastic theory).

iii) Few case records available where depth of crown below surface is less than span width. Suggest SRF increase from 2.5 to 5 for such cases (see H).

Q system

Determination of the Stress Reduction Factor in
Highly Stressed *Jointed* Rock (Warren Peck, 2000)

- $SRF = 34(\sigma_c / \sigma_1)^{-1.2}$
(when not particularly anisotropic stresses)
- $SRF = 31(\sigma_1 / \sigma_3)^{0.3} (\sigma_c / \sigma_1)^{-1.2}$
(when strongly anisotropic stresses)

Both the above for category σ_c / σ_1 from 10 to 1.5

Rock Mechanics

Q system

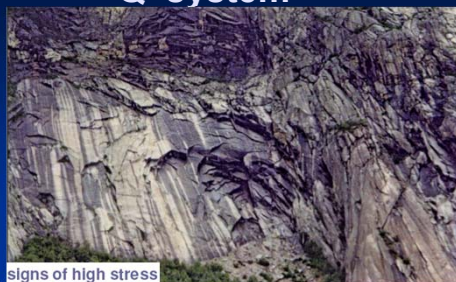
SRF

6. Stress Reduction Factor		SRF
c)	<i>Squeezing rock: plastic flow of incompetent rock under the influence of high rock pressure</i>	σ_3 / σ_c SRF
O	Mild squeezing rock pressure	1-5 5-10
P	Heavy squeezing rock pressure	> 5 10-20
Note: iv) Cases of squeezing rock may occur for depth $H > 350 Q^{1/3}$ (Singh <i>et al.</i> , 1992). Rock mass compression strength can be estimated from $q = 7 \gamma Q^{1/3}$ (MPa) where γ = rock density in gm/cc (Singh, 1993).		
d)	<i>Swelling rock: chemical swelling activity depending on presence of water</i>	
R	Mild swelling rock pressure	5-10
S	Heavy swelling rock pressure	10-15

Rock Mechanics

Q system

SRF



signs of high stress



Q system

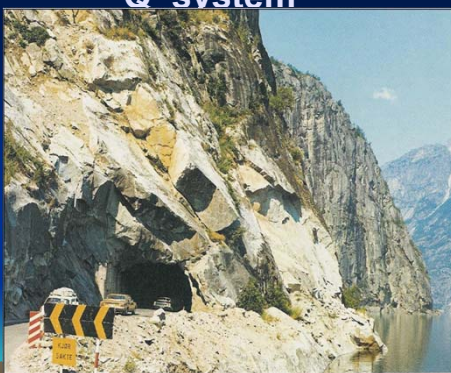
SRF



Hydrothermally altered granite containing montmorillonite (SRF = 15, or higher? - extreme tunnel closure of 4m!)

Q system

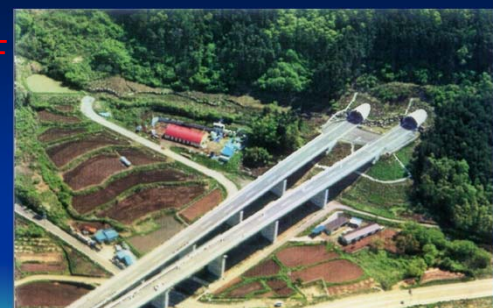
SRF



Near-surface example of high tangential stresses.

Q system


SRF



ANTICIPATED SQUEEZING. MOTORWAY UP-AND-DOWN LANES DIVERGED BY ABOUT 3 (OR 4?) TUNNEL DIAMETERS (SRF = 20 ?)

Q system

Example



$Q = 1000$ (or better)

$Q = 100/0.5 \times 4/0.75 \times 1/1$

Rock Mechanics

NGI system

GEOLOGICAL STRENGTH INDEX FOR JOINTED ROCKS (Hoek and Marinos, 2000)

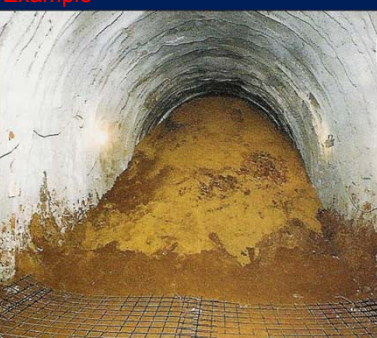
STRUCTURE	DECREASING SURFACE QUALITY			
INTACT OR MASSIVE - intact rock specimens or massive in situ rock with few widely spaced discontinuities	90	80	70	60
BLOCKY - well interlocked undisturbed rock mass consisting of cubical blocks formed by three intersecting discontinuity sets	80	70	60	50
VERY BLOCKY - interlocked, partially disturbed mass with multi-faceted angular blocks formed by 4 or more joint sets	70	60	50	40
BLOCKY/DISTURBED/SEAMY - folded with angular blocks formed by many intersecting discontinuity sets. Persistence of bedding planes or schistosity	60	50	40	30
DISINTEGRATED - poorly interlocked, heavily broken rock mass with mixture of angular and rounded rock pieces	50	40	30	20
LAMINATED/SHEARED - Lack of blockiness due to close spacing of weak schistosity or shear planes	40	30	20	10

VERY GOOD Very rough, fresh unweathered surfaces
GOOD Rough, slightly weathered, iron stained surfaces
FAIR Smooth, moderately weathered and altered surfaces
POOR Scales and highly weathered surfaces with compact coatings or fillings of angular fragments
VERY POOR Stuck-sidest, highly weathered surfaces with soft clay coatings or fillings

DECREASING SURFACE QUALITY

Q system

Example



$Q = 0.001$ (or worse)

$Q = 10/20 \times 1/8 \times 0.5/20$

Rock Mechanics

NGI system GSI

GSI FOR HETEROGENEOUS ROCK MASSES SUCH AS FLYSCH (Marinos P and Hoek, E, 2000)


From a description of the lithology, structure and surface conditions (particularly of the bedding planes), choose a box in the chart. Locate the position in the box that corresponds to the condition of the discontinuities and estimate the average value of GSI from the contours. Do not attempt to be too precise. Quoting a range from 33 to 37 is more realistic than giving GSI = 35. Note that the Hoek-Brown criterion does not apply to structurally controlled failures. Where unfavourably oriented continuous weak planar discontinuities are present, these will dominate the behaviour of the rock mass. The strength of some rock masses is reduced by the presence of groundwater and this can be allowed for by a slight shift to the right in the columns for fair, poor and very poor conditions. Water pressure does not change the value of GSI and it is dealt with by using effective stress analysis.

COMPOSITION AND STRUCTURE	VERY GOOD - Very rough, fresh unweathered surfaces	GOOD - Rough, slightly weathered, iron stained surfaces	FAIR - Smooth, moderately weathered and altered surfaces	POOR - Scales and highly weathered surfaces with compact coatings or fillings of angular fragments	VERY POOR - Stuck-sidest, highly weathered surfaces with soft clay coatings or fillings
A. Thick bedded, very blocky sandstone. The effect of pelitic cohesions on the bedding planes is minimized by the confinement of the rock mass. In shallow tunnels or slopes these bedding planes may cause structurally controlled instability.	70	60	50	40	30
B. Sandstone with thin inter-layers of shale.	60	50	40	30	20
C. Sandstone and shale in similar amounts.	50	40	30	20	10
D. Siltstone or clay shale with sandstone layers.	40	30	20	10	0
E. Weak siltstone or clay shale with sandstone layers.	30	20	10	0	-10
F. Technically deformed, intensively folded, fractured, sheared clayey shale or siltstone with broken and deformed sandstone layers forming an almost chaotic structure.	20	10	0	-10	-20
G. Undisturbed silty or clayey shale with or without a few very thin sandstone layers.	10	0	-10	-20	-30
H. Technically deformed silty or clayey shale forming a chaotic structure with pockets of clay. Thin layers of sandstone are transformed into small rock pieces.	0	-10	-20	-30	-40

Mean deformation after tectonic disturbance

Q system

Example



A shear (minor fault) without clay.

$Q = \frac{20-60}{3} \times \frac{1.0}{0.75-1} \times \frac{1}{2.5} = 2.7-10.7$

Q RMR comparison

RMR - ROCK MASS RATING

(MPa) (%) (m) (-) (-)

$= \sigma_c + RQD + S + J_{condition} + Water$

(0 - 15) (3 - 20) (5 - 20) (0 - 30) (0 - 15)

+ orientation adjustment (0 to minus 12) for tunnels (0 to minus 60) for slopes

Theoretical range of RMR = 5 to 100

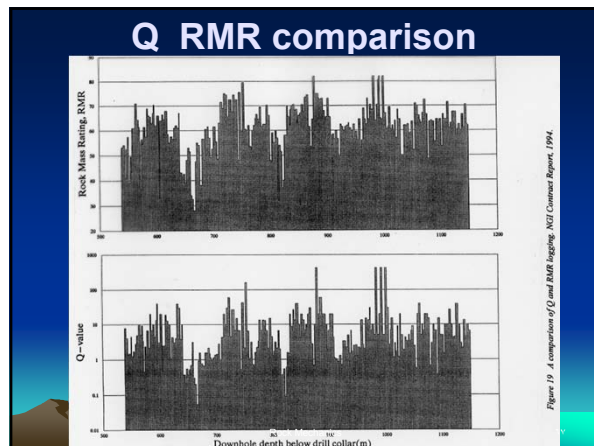
$Q = RQD/J_n \times J_r/J_s \times J_w/SRF$

RQD%	Joint roughness number of sets	Joint alteration	Water Stress/Strength
10 to 100 (actual)	0.5 to 5	0.05 to 1.0	
0.5 to 20	0.75 to 20	0.5 to 400	

Theoretical range of Q = 0.001 to 2000

Note that 'σ_c' and 'S' (spacing) do not occur in the Q-value estimate, only indirectly in stress/strength (SRF) and in RQD

Note that 'J_n' (number of sets) and 'stress' do not occur in RMR

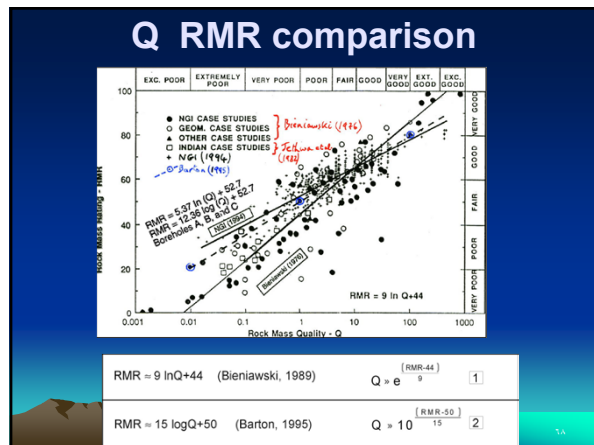


Tunnels and the Q rating

- Require ESR and D_e
 - D_e = equivalent dimension

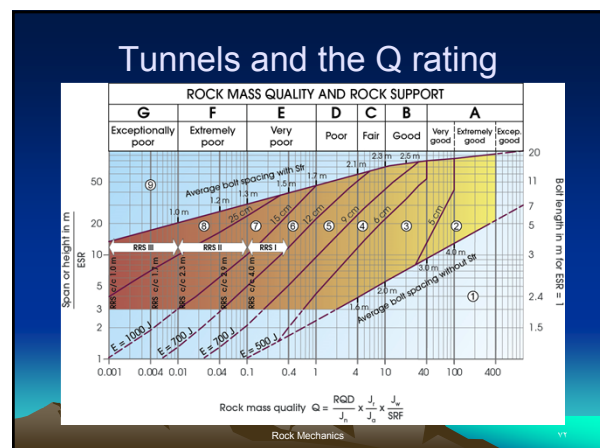
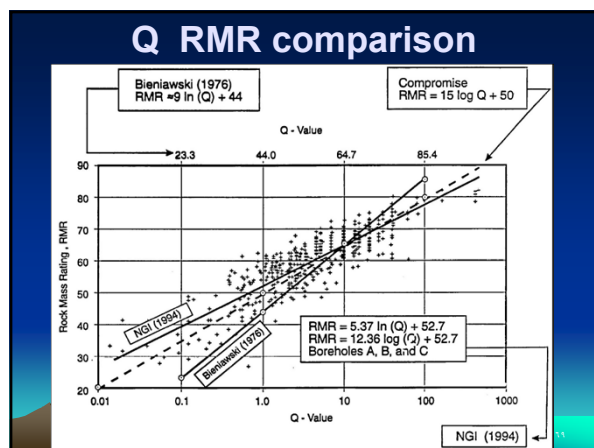
$$D_e = \frac{\text{Excavation span or height}}{\text{ESR}}$$

- ESR = excavation support ratio
- ESR is a function of the tunnel use and acceptable level of risk



ESR Values (Barton et al 1974)

Temporary mine openings	3 - 5
Permanent mine openings, water tunnels for hydro power, etc.	1.6 - 2
Power stations, major road & railway tunnels, etc.	1
Underground nuclear power stations, railway stations, etc.	0.8



Tunnels and the Q rating

- 1) Unsupported
- 2) Spot bolting
- 3) Systematic bolting
- 4) Systematic bolting, (and unreinforced shotcrete, 4 - 10 cm)
- 5) Fibre reinforced shotcrete and bolting, 5 - 9 cm
- 6) Fibre reinforced shotcrete and bolting, 9 - 12 cm
- 7) Fibre reinforced shotcrete and bolting, 12 - 15 cm
- 8) Fibre reinforced shotcrete, > 15 cm,
reinforced ribs of shotcrete and bolting
- 9) Cast concrete lining

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Evaluation of Tunnels based on Q rating

Example:

- 10 m span & ESR = 2
- Q= 40

Requires rock bolts at 3 m spacing, 2.4 m long

- 10 m span & ESR = 1
- Q= 40

Requires rock bolts at 3 m spacing, 3 m long

Rock Mechanics

Evaluation of Tunnels based on Q rating

Example:

- 1)
 - 10 m span & ESR = 2
 - Q = 40
- 2)
 - 10 m span & ESR = 1
 - Q = 40

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Rock Bolts- Q system



CT Bolts

- Rock bolt lengths are for an ESR of 1.0 (conservative)

Rock Mechanics

Tunnels and the Q rating

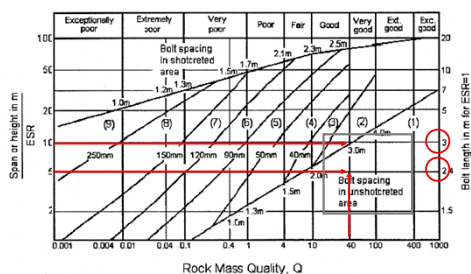


Figure 1. The Q system Tunnel Reinforcement Design Chart (Barton⁷, 1996)

Example:

10 m span ESR = 2

10 m span ESR = 1

Q= 40 Rock Mechanics

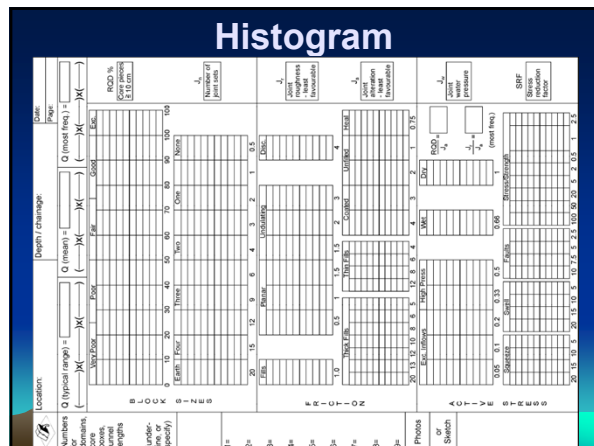
Q= 40

Tunnels based on Q rating

Final Example:

- 10 m span & ESR = 1
 - Q= 10
- Requires rock bolts at 2.3 m spacing, 3 m long in 40 mm shotcrete

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KEY POINTS

- Rock mass rating systems are a useful way of forming an evaluation of rock masses
- The Q or NGI system was based on tunnelling
- The RMR (CSIR) system is more commonly used for slope stability
- The strength of rock masses can be judged from these systems

Rock Mechanics

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